

Independence number for partitions of ω

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Motivation

- The combinatorial structure of $(\wp(\omega)/fin, \leq_{fin})$ is described by cardinal invariants of the continuum.
- We can define analogous cardinal invariants describing properties of $(\wp(\omega)/\mathcal{I}, \leq_{\mathcal{I}})$, $(Dense(\mathbb{Q})/nwd, \leq_{nwd})$, $((\omega)^\omega, \leq^*)$ or $((\omega)^\omega, \leq^*)$.

Program: Compare these cardinal invariants to investigate the similarities and differences between these structures.

In this talk, we focus on the independence number on $(\wp(\omega)/fin, \leq_{fin})$ and $((\omega)^\omega, \leq^*)$.

(ω)

Definition 1.

$X \subset \wp(\omega)$ is a **partition** of ω if $\forall x, y \in X (x \neq y \rightarrow x \cap y = \emptyset)$ and $\bigcup X = \omega$.

$$(\omega) = \{X \subset \wp(\omega) : X \text{ is a partition of } \omega\}.$$

For $X, Y \in (\omega)$ X is **coarser** than Y ($X \leq Y$) if

$$\forall x \in X \exists Y' \subset Y (x = \bigcup Y').$$

Proposition 2. $((\omega), \leq)$ is a lattice.

$$X \wedge Y = \text{infimum of } X \text{ and } Y.$$

$$\boxed{(\omega)^\omega}$$

$$\begin{aligned}(\omega)^\omega &= \{X \in (\omega) : |X| = \aleph_0\} \\ (\omega)^{<\omega} &= \{X \in (\omega) : |X| < \aleph_0\}.\end{aligned}$$

Definition 3. Let $X, Y \in (\omega)^\omega$.

X is **almost coarser than** Y ($X \leq^* Y$) if $\forall^\infty x \in X \exists Y'(x = \cup Y')$.

X is **non-trivial** if $\{\{n\} : n \in \omega\} \not\leq^* X$.

X and Y are **compatible** ($X \parallel Y$) if $X \wedge Y \in (\omega)^\omega$.

X and Y are **incompatible** ($X \perp Y$) if $X \wedge Y \in (\omega)^{<\omega}$

properties of $((\omega), \leq^*)$

As $(\wp(\omega)/fin, \leq_{fin})$, $((\omega)^\omega, \leq^*)$ has the following properties:

Lemma 4. (J.Cichoń, A.Krawczyk, B.Majcher-Iwanow, B.Węglorz)

Suppose that $X_0 \geq X_1 \geq X_2 \geq \dots$ is a decreasing sequence of $(\omega)^\omega$. Then there exists $Y \in (\omega)^\omega$ such that $Y \leq^* X_n$ for $n \in \omega$.

Lemma 5. (J.Cichoń, A.Krawczyk, B.Majcher-Iwanow, B.Węglorz)

For $X, Y \in (\omega)^\omega$ if $X \not\leq^* Y$, then there exists $Z \in (\omega)^\omega$ such that $Z \leq^* X$ and $Z \perp Y$.

dual-independent family

$((\omega)^\omega, \leq^*)$ doesn't have any natural complementation.

Definition 6. Let \mathcal{I} be a subset of $(\omega)^\omega$. \mathcal{I} is a dual-independent family if for all \mathcal{A} and \mathcal{B} finite subsets of \mathcal{I} with $\mathcal{A} \cap \mathcal{B} = \emptyset$ there exists $C \in (\omega)^\omega$ such that

(i) $C \leq^* A$ for $A \in \mathcal{A}$ and

(ii) $C \perp B$ for $B \in \mathcal{B}$.

Then define dual-independence number i_d by

$$i_d = \min\{|\mathcal{I}| : \mathcal{I} \text{ is a maximal dual-independent family}\}.$$

i_d and other cardinals

Definition 7. $\mathcal{R} \subset (\omega)^\omega$ is a dual-reaping family if $\forall X \in (\omega)^\omega \exists Y \in \mathcal{R} (X \perp Y \text{ or } Y \leq^* X)$.

$$\mathfrak{r}_d = \min\{|\mathcal{R}| : \mathcal{R} \text{ is a dual-reaping family}\}.$$

As $\mathfrak{r} \leq i$, we have the following:

Proposition 8. $\mathfrak{r}_d \leq i_d$.

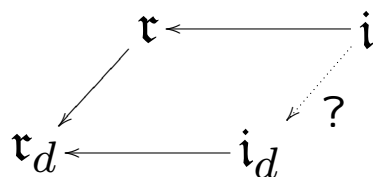
\mathfrak{r}_d and \mathfrak{r} satisfies the following inequality:

Proposition 9. (J.Cichoń, A.Krawczyk, B.Majcher-Iwanow, B.Węglorz)

$$\mathfrak{r}_d \leq \mathfrak{r}.$$

Open Problem. $i_d \leq i$ or it is consistent that $i < i_d$?

independence number and continuum.



$\kappa \rightarrow \lambda$ means that $\lambda \leq \kappa$ is provable in ZFC.

Theorem 10. (J.Cichoń, A.Krawczyk, B.Majcher-Iwanow, B.Węglorz)
MA implies $\mathfrak{r}_d = \mathfrak{c}$. Therefore MA implies $\mathfrak{i}_d = \mathfrak{c}$.

Question 1. *Con($\mathfrak{i}_d < \mathfrak{c}$)?*

Lemma 11. (J.Cichoń, A.Krawczyk, B.Majcher-Iwanow, B.Węglorz)
 If $X, Y \in (\omega)^\omega$ and $X \not\leq^* Y$, then there exists an infinite sequence $\{a_n\}_{n \in \omega}$ of different elements of X such that

$$\forall n \in \omega \exists y \in Y (y \cap a_{2n} \neq \emptyset \wedge y \cap a_{2n+1} \neq \emptyset)$$

or there exists a finite subset A of X such that the set

$$\{x \in X \setminus A : \exists y \in Y (x \cap y \neq \emptyset \wedge \bigcup A \cap y \neq \emptyset)\}$$

is infinite.

Lemma 12. (Minami) If $X \in (\omega)^\omega$ and \mathcal{B} is a finite subset of $(\omega)^\omega$ such that $X \not\leq^* B$ for $B \in \mathcal{B}$, then there exists $Z \leq X$ such that $Z \perp B$ for $B \in \mathcal{B}$.

This statement doesn't hold for $(\wp(\omega)/fin, \leq_{fin})$.

Example 2. Let $B_1 \in [\omega]^\omega$ and $B_2 \in [\omega]^\omega$ with $B_1 \cap B_2 = \emptyset$. Put $X \subset B_1 \cup B_2$ with $B_i \cap X \in [\omega]^\omega$ for $i = 1, 2$.

Then there is no infinite $Z \subset X$ with $Z \cap B_i = \emptyset$ for $i = 1, 2$.

Corollary 13. \mathcal{I} is dual-independent if and only if for each finite subset \mathcal{A} of \mathcal{I} and $B \in \mathcal{I} \setminus \mathcal{A}$

$$\bigwedge \mathcal{A} \not\subseteq^* B.$$

$(\wp(\omega)/fin, \leq_{fin})$ doesn't have this properties.

Example 3. Pick $X, Y \in [\omega]^\omega$ so that $X \cap Y \in [\omega]^\omega$, $X \setminus Y \in [\omega]^\omega$, $Y \setminus X \in [\omega]^\omega$ and $X \cup Y = \omega$. Put $\mathcal{I} = \{X, Y\}$.

\mathcal{I} satisfies that for any finite $\mathcal{A} \subset \mathcal{I}$ and $B \in \mathcal{I} \setminus \mathcal{A}$, $\bigcap \mathcal{A} \not\subseteq^* B$, but \mathcal{I} is not independent family. Because

$$(\omega \setminus X) \cap (\omega \setminus Y) = \emptyset.$$

Cohen forcing and i_d

Theorem 14. *If $V \models CH$, then $V^{\mathbb{C}_{\omega_2}} \models i_d < \mathfrak{c}$.*

To prove Theorem 14 we use the following lemma.

Lemma 15. *Assume $p \in \mathbb{C}$, \mathcal{I} is a countable dual-independent family and \dot{X} is a \mathbb{C} -name such that $p \Vdash \text{“}\dot{X} \text{ is a non-trivial infinite partition of } \omega \text{ and } \{\dot{X}\} \cup \mathcal{I} \text{ is dual-independent”}$. Then there exists $X^* \in (\omega)^\omega \cap V$ such that $\{X^*\} \cup \mathcal{I}$ is dual-independent and $p \Vdash \dot{X} \perp X^*$.*

Corollary 16. *Con($i_d < \mathfrak{r}$).*