

Around splitting and reaping number for  
partitions of  $\omega$

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## Motivation

- The combinatorial structure of  $(\wp(\omega)/fin, \leq_{fin})$  is described by cardinal invariants of the continuum.
- We can define analogous cardinal invariants describing properties of  $(\wp(\omega)/\mathcal{I}, \leq_{\mathcal{I}})$ ,  $(Dense(\mathbb{Q})/nwd, \leq_{nwd})$ ,  $((\omega)^\omega, \leq^*)$  or  $((\omega)^\omega, \leq^*)$ .

**Program:** Compare these cardinal invariants to investigate the similarities and differences between these structures.

In this talk, we focus on the reaping number and splitting number on  $(\wp(\omega)/fin, \leq_{fin})$  and  $((\omega)^\omega, \leq^*)$ .

$(\omega)$

**Definition 1.**

$X \subset \wp(\omega)$  is a **partition** of  $\omega$  if  $\forall x, y \in X (x \neq y \rightarrow x \cap y = \emptyset)$  and  $\bigcup X = \omega$ .

$$(\omega) = \{X \subset \wp(\omega) : X \text{ is a partition of } \omega\}.$$

For  $X, Y \in (\omega)$   $X$  is **coarser** than  $Y$  ( $X \leq Y$ ) if

$$\forall x \in X \exists Y' \subset Y (x = \bigcup Y').$$

**Proposition 2.**  $((\omega), \leq)$  is a lattice.

$$X \wedge Y = \text{infimum of } X \text{ and } Y.$$

$$\boxed{(\omega)^\omega}$$

$$\begin{aligned}(\omega)^\omega &= \{X \in (\omega) : |X| = \aleph_0\} \\ (\omega)^{<\omega} &= \{X \in (\omega) : |X| < \aleph_0\}.\end{aligned}$$

**Definition 3.** Let  $X, Y \in (\omega)^\omega$ .

$X$  is **almost coarser than**  $Y$  ( $X \leq^* Y$ ) if  $\forall^\infty x \in X \exists Y' \subset Y (x = \bigcup Y')$ .

$X$  and  $Y$  are **compatible** ( $X \parallel Y$ ) if  $X \wedge Y \in (\omega)^\omega$ .

$X$  and  $Y$  are **incompatible** ( $X \perp Y$ ) if  $X \wedge Y \in (\omega)^{<\omega}$

splitting family and reaping family

**Definition 4.**

For  $X, Y \in (\omega)^\omega$   $X$  **dual-splits**  $Y$  if  $X \wedge Y \in (\omega)^\omega$  and  $Y \not\leq^* X$ .

$\mathcal{S} \subset (\omega)^\omega$  is a **dual-splitting family** if  $\forall Y \in (\omega)^\omega \exists X \in \mathcal{S} (X \text{ dual-splits } Y)$ .

$$\mathfrak{s}_d = \min\{|\mathcal{S}| : \mathcal{S} \text{ is a dual-splitting family}\}.$$

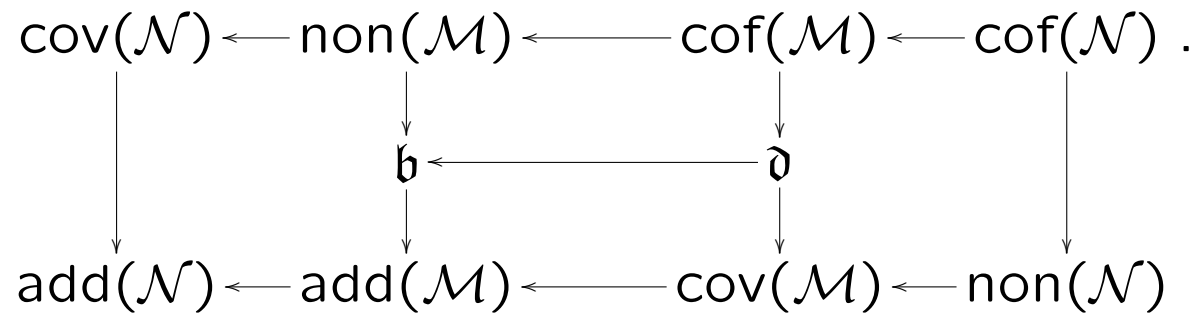
$\mathcal{R} \subset (\omega)^\omega$  is a **dual-reaping family** if

$$\forall Y \in (\omega)^\omega \exists X \in \mathcal{R} (Y \text{ doesn't dual-split } X).$$

$$\mathfrak{r}_d = \min\{|\mathcal{R}| : \mathcal{R} \text{ is a dual-reaping family}\}.$$

### Cichoń's diagram

Let  $\mathcal{M}$  be the meager ideal. Let  $\mathcal{N}$  be the null ideal. Then the following relation holds.



### Cichoń's diagram

$\kappa \rightarrow \lambda$  means  $\kappa \geq \lambda$  is provable in ZFC.

$\mathfrak{r}_d$ ,  $\mathfrak{s}_d$  and cardinal invariants in Cichoń's diagram

**Theorem 5.**

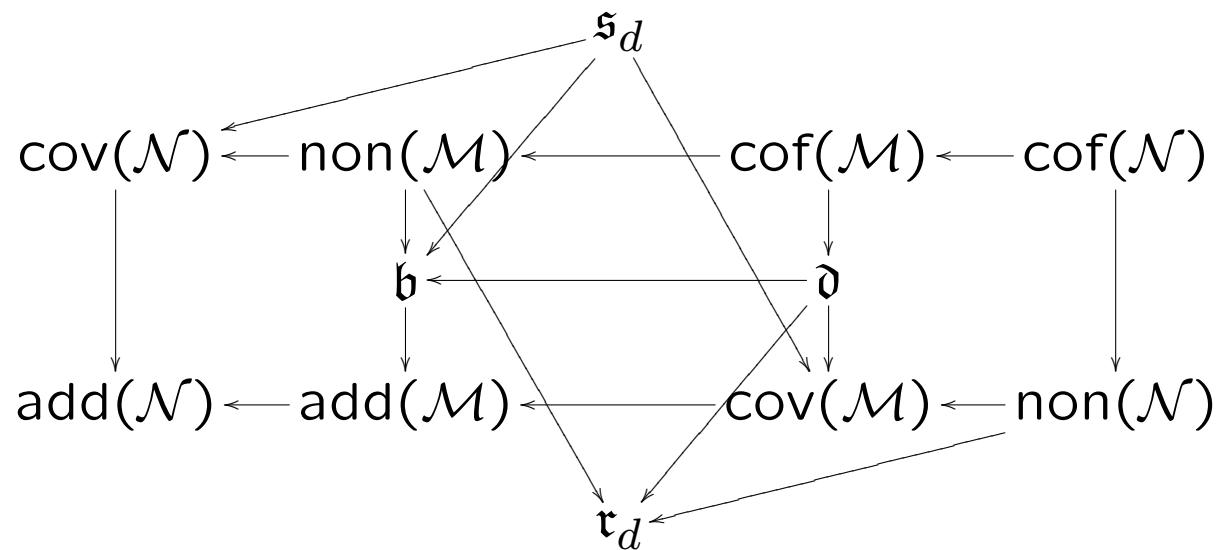
(i) (Cichoń, Krawczyk, Majcher-Iwanow, Węglorz)

$$\mathfrak{s}_d \geq \text{cov}(\mathcal{M}).$$

(ii)  $\mathfrak{s}_d \geq \text{cov}(\mathcal{N}). \quad \mathfrak{r}_d \leq \text{non}(\mathcal{M}), \text{non}(\mathcal{N}).$

(iii) (Kamo)  $\mathfrak{r}_d \leq \mathfrak{d}. \quad \mathfrak{s}_d \geq \mathfrak{b}.$

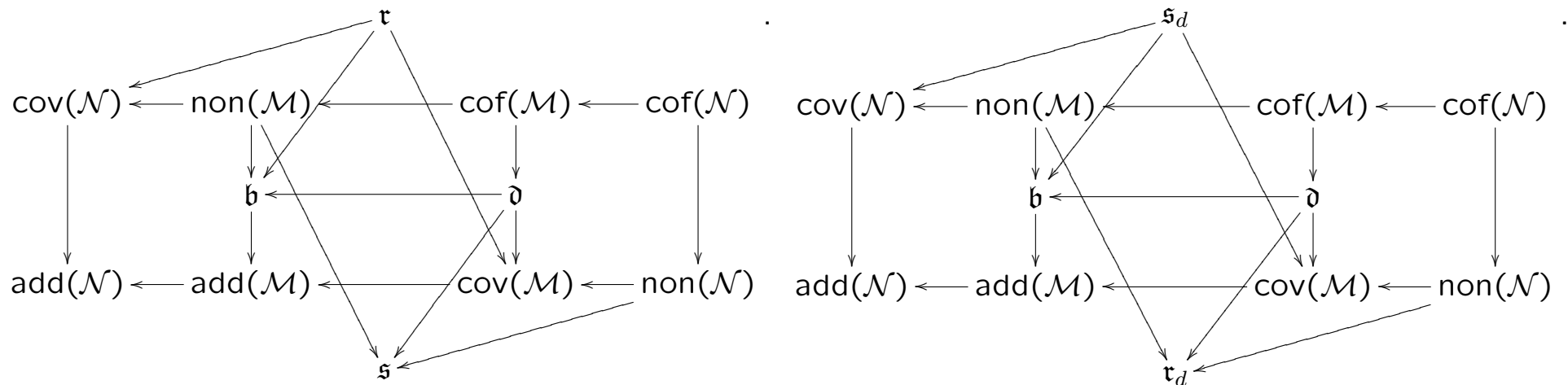
$\mathfrak{r}_d, \mathfrak{s}_d$  and Cichoń's diagram



$\kappa \rightarrow \lambda$  means  $\kappa \geq \lambda$  is provable in ZFC.

**$\mathfrak{r}$ ,  $\mathfrak{s}$  and Cichoń's diagram**

Let  $\mathfrak{r}$  and  $\mathfrak{s}$  be the reaping number and splitting number for  $(\wp(\omega)/fin, \leq_{fin})$  respectively.



$\mathfrak{r}$ ,  $\mathfrak{s}$ ,  $\mathfrak{r}_d$ ,  $\mathfrak{s}_d$  and Cichoń's diagram

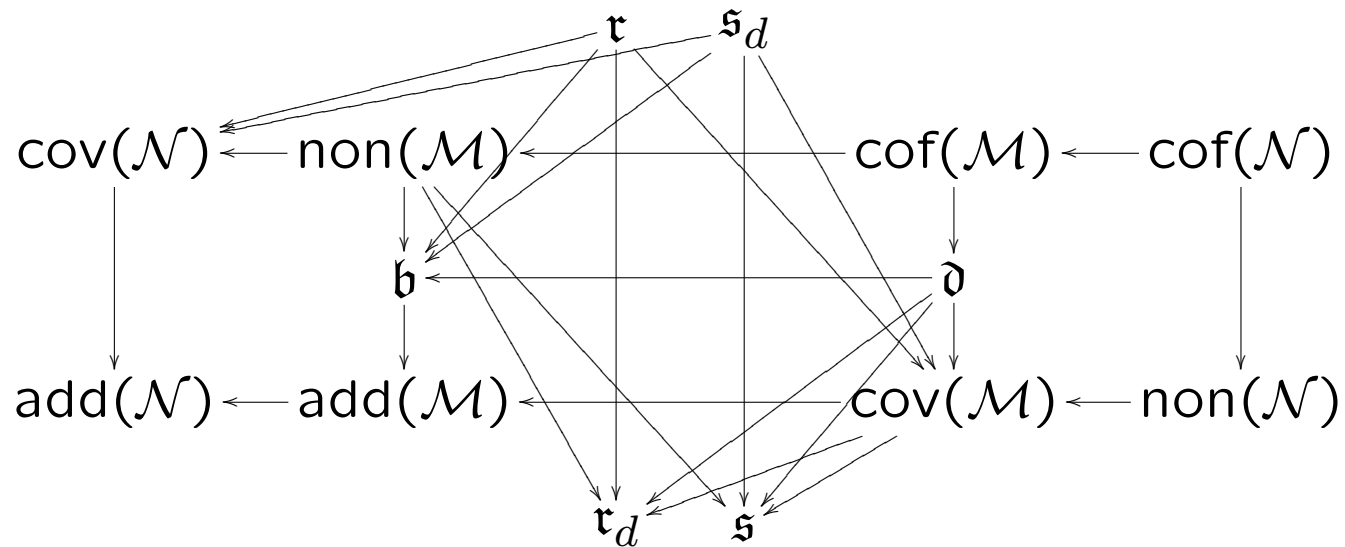
**Theorem 6.**

(1) (Cichoń, Krawczyk, Majcher-Iwanow, Węglorz)

$$\mathfrak{r}_d \leq \mathfrak{r}.$$

(2) (Kamburelis and Węglorz)

$$\mathfrak{s}_d \geq \mathfrak{s}.$$



$\mathfrak{s}, \mathfrak{r}, \mathfrak{s}_d$  and  $\mathfrak{r}_d$

**Theorem 7** (Blass and Shelah).  $Con(\mathfrak{u} < \mathfrak{s})$ .

So  $Con(\mathfrak{r}_d < \mathfrak{s})$ .  $Con(\mathfrak{r} < \mathfrak{s}_d)$ .

Let  $\mathbb{DS}$  be a c.c.c forcing notion introduced by Cichoń, Krawczyk, Majcher-Iwanow and Węglorz.

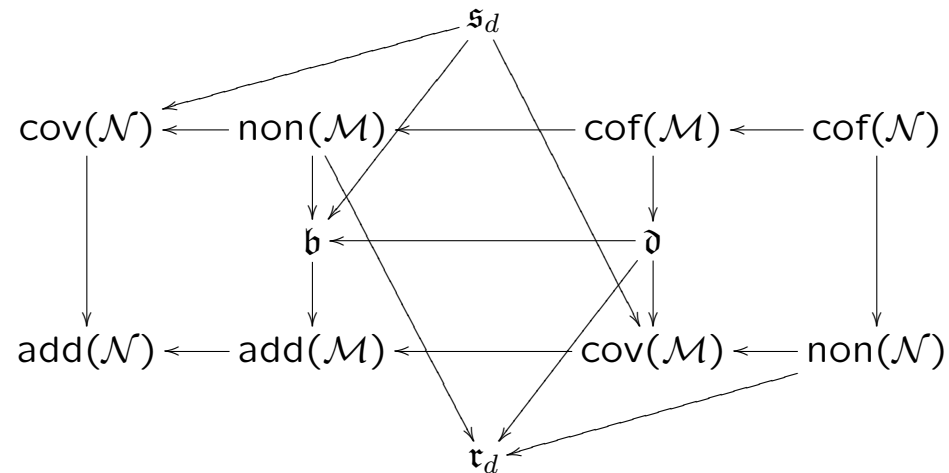
**Theorem 8.** (Cichoń, Krawczyk, Majcher-Iwanow and Węglorz)  
If  $V \models CH$ , then  $V^{\mathbb{DS}_{\omega_2}} \models \mathfrak{r}_d = \mathfrak{c}$ .

Since  $\mathbb{DS}$  is a Suslin c.c.c,  $V^{\mathbb{DS}_{\omega_2}} \models \mathfrak{s} = \omega_1$ . Hence  $Con(\mathfrak{s} < \mathfrak{r}_d)$ .

**Theorem 9.** (Minami) If  $V \models MA$ , then  $V^{\mathbb{DS}_{\omega_1}} \models \mathfrak{r} > \mathfrak{s}_d$ . So  $Con(\mathfrak{r} > \mathfrak{s}_d)$ .

**Question 9.1.**  $Con(\mathfrak{s}_d < \mathfrak{r}_d)$  ?

$\mathfrak{r}_d$ ,  $\mathfrak{s}_d$  and Cichoń's diagram 2



**Theorem 10** (Brendle).

If  $V \models CH$ , then  $V^{\text{DS}\omega_2} \models \mathfrak{r}_d > \mathfrak{b}$ .

If  $V \models MA$ , then  $V^{\text{DS}\omega_1} \models \mathfrak{s}_d < \mathfrak{d}$ .

**Question 10.1.**  $\mathfrak{s}_d \leq \text{cof}(\mathcal{M})$ ?  $\mathfrak{r}_d \geq \text{add}(\mathcal{M})$ ?

## Hechler forcing and $\mathfrak{r}_d$ and $\mathfrak{s}_d$

By  $\mathbb{D}$  we denote the Hechler forcing.

**Theorem 11.** (Minami) *Let  $\mathbb{D}_\alpha$  be the  $\alpha$ -stage finite support iteration of Hechler forcing.*

*If  $V \models CH$ , then  $V^{\mathbb{D}_{\omega_2}} \models \mathfrak{r}_d = \omega_1 < \text{add}(\mathcal{M}) = \omega_2$ .*

*If  $V \models MA$ , then  $V^{\mathbb{D}_{\omega_1}} \models \mathfrak{s}_d = \omega_2 > \text{cof}(\mathcal{M}) = \omega_1$ .*

*So  $\text{Con}(\mathfrak{r}_d < \text{add}(\mathcal{M}))$  and  $\text{Con}(\mathfrak{s}_d > \text{cof}(\mathcal{M}))$ .*